

NOTIFICATION OF LOSS OF MICRO ENTITY STATUS				
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Application or Patent No.:		First Named Inventor:		
Filing Date:		Title of Invention:		
<p>Applicant or patentee hereby notifies the Office that micro entity status is no longer appropriate in this application or patent. Applicant or patentee asserts that:</p> <p><input type="checkbox"/> Applicant/patentee is entitled to small entity status for this application or patent. See 37 CFR 1.27.</p> <p style="text-align: center;">OR</p> <p><input type="checkbox"/> Applicant/patentee will pay undiscounted fees in this application or patent.</p> <p>This form must be signed (see bottom of page 2). If you owe a fee deficiency, you must also complete the following "Fee Deficiency Payment" section and enclose payment for the full amount of the deficiency.</p>				
<p style="text-align: center;">FEE DEFICIENCY PAYMENT</p> <p style="text-align: center;">(please complete this section if you are correcting prior payments that were erroneously made in the micro entity amount)</p> <p><input type="checkbox"/> Applicant or patentee asserts that micro entity status was previously established in good faith, and that micro entity status either was established in error, or the Office was not notified of a loss of entitlement to micro entity status through error. See 37 CFR 1.29(k).</p> <p>As required by 37 CFR 1.29(k), you MUST ITEMIZE the fee deficiency. Please use the chart below to itemize the amounts for the above-identified application or patent. If you need more space to list the fees, please submit multiple forms. See below*.</p>				
Fee Description or Type	Current Fee Amount [◇]	Amount Previously Paid	Date Previously Paid	Deficiency Owed
Total Deficiency Owed (sum of all entries in "Deficiency Owed" column)				
<p>◇ The "current fee amount" refers to the small entity or undiscounted rate (whichever is applicable) listed on the fee schedule as currently in force at the time this paper is submitted and the deficiency is paid in full. The USPTO fee schedule is available at https://www.uspto.gov/Fees. For more information about submitting fee deficiencies, see MPEP 509.04(f). The MPEP is available at https://www.uspto.gov/MPEP.</p>				

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FEE DEFICIENCY PAYMENT (CONT.)

If you owe a fee deficiency, you must enclose payment for the total fee deficiency amount for prior payments erroneously made in the micro entity amount in this application or patent. Do NOT combine payment for multiple applications or patents. If you owe a fee deficiency in multiple applications or patents, you must submit a separate form and payment for each application or patent.

Please check the applicable box for the form of payment, and follow the corresponding instructions for submitting your form and payment.

- ☐ A check or U.S. Postal Service money order is enclosed. Please make payable to "Director of the USPTO", and mail this form with the check or money order to:
- Mail Stop Petition
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P.O. Box 1450
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- ☐ Payment by credit card. Do NOT provide credit card information on this form. Instead, enclose a completed Credit Card Payment Form (Form PTO-2038), which is available at <https://www.uspto.gov/sites/default/files/documents/PTO-2038.pdf>. Submit both forms either by facsimile to (571) 273-8300, or by mail to the address shown above.
- ☐ The Director is hereby authorized to charge \$ _____ to USPTO Deposit Account No. _____. If you select this option, this form must be signed by someone who is an authorized user of the deposit account, and who is permitted to sign in accordance with 37 CFR 1.33. If you are paying by deposit account, you may submit this form via the USPTO's patent electronic filing systems (EFS-Web or Patent Center), by facsimile to (571) 273-8300, or by mail to the address shown above.

For more information on accepted payment methods, please see <https://www.uspto.gov/learning-and-resources/fees-and-payment/accepted-payment-methods>. Payment must be made in U.S. dollars, and if payment is made from a foreign country, the payment must be payable and immediately negotiable in the United States for the full amount of the fee required.

SIGNATURE

This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications.

If applicant or patentee is a juristic entity (e.g., an LLC or corporation), this form must be signed by a registered practitioner. See 37 CFR 1.31.

If applicant or patentee is a person or persons, this form may be signed by either a registered practitioner, or the applicant or patentee. Note that if multiple people together are the applicant or patentee (e.g., there are joint inventors who together are the applicant), then a signature is required from each person who is an applicant or patentee. *Submit multiple forms if more than one signature is required, see below*.*

Signature	Date
Name (Print/Typed)	Practitioner Registration Number (if applicable)

☐ * Total of _____ forms are submitted.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013), <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>.

Routine uses of the information in this record may include disclosure to: 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law; 2) a federal, state, local, or international agency, in response to its request; 3) a contractor of the USPTO having need for the information in order to perform a contract; 4) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record; 5) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record; 6) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations; 7) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals; 8) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)); 9) the Office of Personnel Management (OPM) for personnel research purposes; and 10) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.