

Doc Code: R46C.REQ

Document Description: Request under 37 CFR 1.46(c) to correct, update or change the applicant.

PTO/AIA/41 (04-15)

Approved for use through 11/30/2027. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**REQUEST TO CORRECT OR UPDATE  
THE NAME OF THE APPLICANT UNDER  
37 CFR 1.46(c)(1), OR CHANGE THE  
APPLICANT UNDER 37 CFR 1.46(c)(2)  
(FOR USE ONLY IN APPLICATIONS FILED ON OR AFTER  
SEPTEMBER 16, 2012)**

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Practitioner Docket  
Number

**To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Applicant hereby **requests that the name of the applicant be corrected or updated under 37 CFR 1.46(c)(1), or that the applicant be changed under 37 CFR 1.46(c)(2),** in the above-identified application. **Requests under 37 CFR 1.46(c)(1) or (c)(2) cannot be submitted after payment of the issue fee or if the application has been patented.**

Please check the applicable box(es) below.

☐ 1. This request is to **correct or update the name of the applicant (under 37 CFR 1.46(c)(1))** and includes:

☐ An application data sheet (ADS) in accordance with 37 CFR 1.76(c) with the corrected or updated information shown with markings (e.g., underlining for insertions, strikethrough for deletions). A Corrected Web-based ADS may be used.

**Note:** Requests under 37 CFR 1.46(c)(1) may be filed to correct typographical errors in the name of the § 1.46 applicant, or for updating the name of the § 1.46 applicant (i.e., where there is no change in the applicant itself but just in the applicant's name). See the Manual of Patent Examining Procedure (MPEP) section 605.01.

☐ 2. This request is to **change the applicant (under 37 CFR 1.46(c)(2))** and includes:

☐ An application data sheet (ADS) in accordance with 37 CFR 1.76(c) that identifies the changes with proper markings (underlining for insertions and strikethrough for deletions). A Corrected Web-based ADS may be used.

☐ A Statement Under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent). See MPEP 325.

I am the

☐ applicant\*

☐ attorney or agent of record

Registration number \_\_\_\_\_

☐ attorney or agent acting under 37 CFR 1.34

Registration number \_\_\_\_\_

Signature \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Date \_\_\_\_\_

**NOTE:** This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. \*Juristic entities must be represented by a patent practitioner (See 37 CFR 1.31, applicable to any paper filed on or after September 16, 2012 that is presented on behalf of a juristic entity, regardless of application filing date). Submit multiple forms if more than one signature is required, see below\*\*.

☐ \*\* Total of \_\_\_\_\_ forms are submitted.

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*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.