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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Named Inventor

Title

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ **Fee Transmittal Form**
(PTO/SB/17 or equivalent)
2. ☐ **Applicant asserts small entity status.**
See 37 CFR 1.27
3. ☐ **Applicant certifies micro entity status.** See 37 CFR 1.29.
Applicant must attach form PTO/SB/15A or B or equivalent.
4. ☐ **Specification** [Total Pages _____]
Both the claims and abstract must start on a new page.
(See MPEP § 608.01(a) for information on the preferred arrangement)
5. ☐ **Drawing(s)** (35 U.S.C. 113) [Total Sheets _____]
6. **Inventor's Oath or Declaration** [Total Pages _____]
(including substitute statements under 37 CFR 1.64 and assignments
serving as an oath or declaration under 37 CFR 1.63(e))
 - a. ☐ Newly executed (original or copy)
 - b. ☐ A copy from a prior application (37 CFR 1.63(d))
7. ☐ **Application Data Sheet** * See note below.
See 37 CFR 1.76 (PTO/AIA/14 or equivalent)
8. **CD-ROM or CD-R**
in duplicate, large table, or Computer Program (Appendix)
 ☐ Landscape Table on CD
9. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, items a. – c. are required)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ADDRESS TO:

 Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ACCOMPANYING APPLICATION PAPERS

10. ☐ **Assignment Papers**
(cover sheet & document(s))
Name of Assignee _____
11. ☐ **37 CFR 3.73(c) Statement** ☐ **Power of Attorney**
(when there is an assignee)
12. ☐ **English Translation Document**
(if applicable)
13. ☐ **Information Disclosure Statement**
(PTO/SB/08 or PTO-1449)
☐ Copies of citations attached
14. ☐ **Preliminary Amendment**
15. ☐ **Return Receipt Postcard**
(MPEP § 503) (Should be specifically itemized)
16. ☐ **Certified Copy of Priority Document(s)**
(if foreign priority is claimed)
17. ☐ **Nonpublication Request**
Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or equivalent.
18. ☐ **Other:** _____

***Note:** (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 **must** be included in an Application Data Sheet (ADS).
(2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

19. CORRESPONDENCE ADDRESS

☐ The address associated with Customer Number: _____ OR ☐ Correspondence address below

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